

Annual Report 2013



**SANITATION
AND WATER
FOR ALL**



About SWA

SWA is a global partnership of over 90 developing country governments, donors, development banks, civil society organizations and other development partners working together to catalyse political leadership and action, improve accountability and use scarce resources more effectively. Partners work towards a common vision of universal access to safe water and adequate sanitation.

Recognizing that countries and organizations achieve more by working together, SWA provides a transparent, accountable and results-oriented framework for action based on common values and principles.

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“Our ambition is nothing less than to set a course of action that will bring an end to the sanitation and water crisis.”

HLM Chair, His Excellency
John Agyekum Kufuor,
speaking at the SWA 2012
High Level Meeting



SWA Chair, H.E. Kufuor, at the 2012 High Level Meeting

Photo Credit: SWA / Kris Tripplaar

“Let us be encouraged by progress so far but not become complacent.”

Foreword by H.E. John Agyekum Kufuor, Chair of SWA

Sanitation, hygiene and water are fundamental to achieving all development goals. They are critical for saving children’s lives, improving health, nutrition, food security, promoting equity and improving the lives of women and economic development. In 2013, the global community continued to face many challenges, but I was delighted to see greater attention given to sanitation and water around the world.

2013 was a very busy year for SWA and I would like to express my gratitude to all SWA partners for the efforts they made. Although SWA is young, in a short space of time we have become a fully functioning partnership with a common vision. We are no longer in any doubt as to whether we need SWA, nor are we asking what it is. Rather, we are using the partnership to make a real difference on the ground.

SWA has begun to reshape political dialogue in the sector. The 2012 High Level Meeting (HLM) was unprecedented in attracting over 50 ministers and high-level participants and demonstrated the increase in political prioritization of sanitation and water. In August last year we launched the 2013 Progress Update on the 2012 High-Level Meeting Commitments. The findings of this first major summary of progress were striking. One year after the 2012 HLM, developing countries had met 60 percent of their commitments and donors had met 80 percent. This illustrates that political will and strong leadership can drive action, even in the toughest environments.

SWA is the central platform to build political momentum for sanitation and water. SWA was active in the most high-level sector meetings in 2013 including the regional launch of the UN-Water Global Analysis and Assessment of Sanitation and Drinking-Water (GLAAS), the African Union, the IRC Symposium on monitoring sustainable WASH service delivery, the South Asian Conference on Sanitation (SACOSAN), the WSA High Level Forum, World Water Week in Stockholm and the UN General Assembly. The partnership continues to learn how to advocate and prioritize for sanitation and water for all. For example, we are beginning to learn how finance ministers think about sanitation and water and we understand how to communicate with them better.

Yet, we must all remain focused if we are to deliver the remaining commitments made in 2012. I’m heartened to see increased coordination in the sector, allowing individuals and agencies to act in a complementary manner and add significant value through our combined efforts. Jan Eliasson, the UN Deputy Secretary-General, and I have personally written to every Head of State of countries that are part of SWA. We congratulated them on the progress they have made so far, but reminded them of the challenges still ahead. In April 2014, I will chair the third SWA High Level Meeting and I hope that, together with increased commitments, we will achieve even more than in 2012; we will challenge the global community to make WASH an important part of the post-2015 development agenda, and set an ambitious course for universal access to sanitation and water.

John Agyekum Kufuor

Looking back at 2013

High Level Commitments Dialogue

SWA's primary mechanism to engage high-level decision makers to take action is the High Level Commitments Dialogue (HLCD). This is an ongoing process in which developing countries and donors develop context-specific commitments to address the fundamental bottlenecks holding back progress on sanitation and water.



What is the High Level Commitments Dialogue (HLCD)?

- Preparation of commitments: strengthens sector dialogue among ministers (and parliament), technical stakeholders, CSOs, donors and development banks and citizens
- Biennial High Level Meeting: increases political engagement and better informs ministers
- Monitoring of commitments: promotes accountability to deliver results

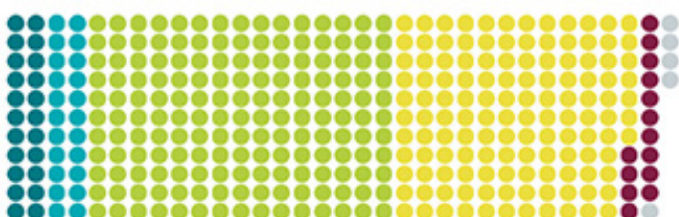
Looking back: 2013 Progress Update

Every two years, UNICEF, on behalf of the SWA Partnership, convenes the global SWA High Level Meeting (HLM) which is hosted at the World Bank in Washington DC. The HLMs bring together ministers responsible for finance, water and sanitation from developing countries, ministers of development cooperation from donor countries, and high-level representatives from development banks and leading sanitation and water agencies, including from civil society. At HLMs, ministers table the commitments they have developed through the HLCD process. On behalf of the partnership, the SWA Secretariat monitors these commitments and issues a report on progress.

The first SWA Progress Update showed the status of the 356 commitments made by 37 developing countries and the 60 commitments from 11 donors. The results were very positive. All fifteen developing countries that made specific commitments to tackle open defecation have made notable progress in scaling up community-based approaches to sanitation. Nine countries reported significant budget increases for sanitation and water, and many leaders have given the WASH sector higher political visibility. Others report progress in creating stronger information systems, from which important decisions can be made.



356 from 37 Developing Countries
Status as of January 2014:



60 from 11 Donors
Status as of January 2014:



PROGRESS: COMPLETED ALMOST COMPLETED GOOD PROGRESS SLOW PROGRESS MAJOR BARRIERS NO DATA

Much work remains to be done to address commitments to improve the effectiveness and sustainability of service delivery. There is slower progress in terms of strengthening institutional arrangements and financial systems and addressing human resources gaps. Change in these areas will take a considerable amount of time and progress needs to be monitored over several years.

The road ahead: preparation for the 2014 HLM

The third Sanitation and Water for All (SWA) High Level Meeting (HLM) will take place on 11 April 2014 in Washington in conjunction with the World Bank Spring Meetings. A preparatory WASH Sector Ministers' Meeting will take place on 10 April 2014. By the end of 2013, partners had come together to develop strategies and action plans to prepare for the HLM. Many had launched advocacy campaigns, further reviewed progress on existing commitments, and undertaken sector analyses to identify bottlenecks to develop new and more ambitious commitments.

Demonstrating leadership: the SWA High-Level Chair

In 2013, H.E. John A. Kufuor demonstrated his extraordinary commitment to SWA through reaching out to key decision makers, attending important events and writing to every head of state of SWA countries. Throughout World Water Week, President Kufuor shared his commitment to challenge governments to make water, sanitation and hygiene (and the elimination of the diseases they cause) a top political priority. He spoke in official conference sessions, including Africa Day and the Stockholm Water Prize, where he shared his experience as former President of Ghana and suggested how Ghana's successes and lessons learned about political leadership can be applied to other countries.



Ms. Yoka Brandt, Deputy Executive Director, UNICEF, Liberian President, Ellen Johnson Sirleaf, and SWA Chair, H.E. Kufuor, at the High Level Breakfast.

Photo Credit: SWA

In September 2013, during the 68th session of the UN General Assembly in New York, H.E. Kufuor co-chaired a roundtable breakfast meeting with Liberian President Ellen Johnson Sirleaf to discuss linkages between nutrition and water, sanitation and hygiene. Among the seventeen high-level decision makers present were Jan Eliasson, the UN Deputy Secretary-General, Ms. Rachel Kyte, Vice President of Sustainable Development at the World Bank, Ms. Etharin Cousin, Executive Director of the World Food Programme and Paul Polman, CEO of Unilever.

Milestone moments in 2013: SWA across the regions

The SWA Secretariat and partners attended several key events in 2013 which resulted in greater sector coordination, better alignment with regional processes, increased visibility for SWA and supported the preparatory work for the 2014 High Level Meeting.

- At the African Regional Workshop on the Implementation of the 2014 GLAAS, 20-22 August 2013, in Burkina Faso, participants developed strategies around robust data collection for the GLAAS country profiles, focusing on outcomes that would help to shape the 2014 HLM commitments.
- At the IRC Symposium on monitoring sustainable WASH service delivery, 9 April 2013, in Ethiopia, over 50 participants came together at the SWA side-event, to discuss ways to engage in concrete SWA partnership activities.
- SACOSAN V was hosted by Nepal in Kathmandu on 22-24 October 2013, just over 6 months ahead of the 2014 SWA HLM. The meeting provided an opportunity for South Asian countries to showcase their achievements and to review options to accelerate progress.
- And, at World Water Week in Stockholm, SWA convened a session entitled Elevating Political Momentum for Sanitation and Water, which identified strategic actions to retain political momentum for WASH.

Coordinating our efforts: the second SWA Partnership Meeting

Partners come together every two years for the SWA Partnership Meeting to discuss the strategic direction of the partnership. In November 2013, a diverse group of over 100 current and potential SWA partners met for the second time in Geneva, Switzerland. Building on the experience of the first Partnership Meeting, partners appreciated the opportunity to become more familiar with work taking place in other countries and verified a vision for SWA. As well as learning from each other, participants learnt what worked from other alliances in adjacent sectors. Partners also charted the preparatory process to build momentum towards the third SWA High Level Meeting and modelled what smart commitments would look like.

Our webpage from the meeting provides comprehensive coverage with a full length report, photographs and video clips, which capture the essence of the discussion as it unfolded.



Visioning exercise at the 2013 Partnership Meeting

Photo Credit: SWA / Jess Hoffman

“Standing tall is what the conference was all about. The sessions on health gave evidence that the poor sanitary environment is one of the main causes of the high levels of stunting (low height for age) in South Asia. Forty-eight percent of Indian children under the age of five show stunting. Toilets help good nutrition and hence growth and cognitive development. Toilets make people taller and smarter.”

SWA Advisor, Piers Cross,
speaking about the
SACOSAN V conference

The added value of the partnership

SWA partners appreciate being active in SWA and recognize the added value that the partnership brings. The following is a cross section of perspectives from partners on working within and being a part of SWA.

What SWA partners said in 2013:

“SWA has raised the issue of sanitation at policy level in my country, Nigeria, and in Africa. It has enabled the voice of the poor to be heard at the global level.”

Leo Atakpu, Chairman,
African Civil Society Network on
Water and Sanitation (ANEW)

“The value added is through promoting inter-ministerial collaboration and coordination and also bringing cohesion between government and civil society organisations.”

Mukama.D.Mukungu, Sanitation
Coordinator, Ministry of Water
and Environment, Uganda

“SWA brings together the skills, capacity and resources of WASH partners in a concerted effort, and can provide an opportunity for focused deliberation and effective collaborative action to address challenges and look for solutions. Although the key issues are not new to the sector, SWA – through activities such as the HLM commitments or the GLAAS report – helps developing country governments communicate more clearly with development partners, reinforcing shared areas of concern and catalysing evidence based decision-making. For example, SWA has helped to increase pressure on government to develop a single sector strategy and plan, and can play a valuable role in mobilizing donors to align behind this plan rather than continuing with piecemeal and uncoordinated programme interventions.”

Clare Battle,
Policy Analyst, WaterAid

“No other platform is building, strengthening and creating political will for universal access to WASH.”

John Oldfield, WASH
Advocates, USA

“The political elevation of the sector is the added value. We tend to talk to ourselves only, while SWA manages to break that barrier and bring in finance and planning ministers.”

Almud Weitz, Regional
Team Leader, Water and
Sanitation Program,
World Bank

“South Asian Governments and CSOs are on the same platform, which is very positive.”

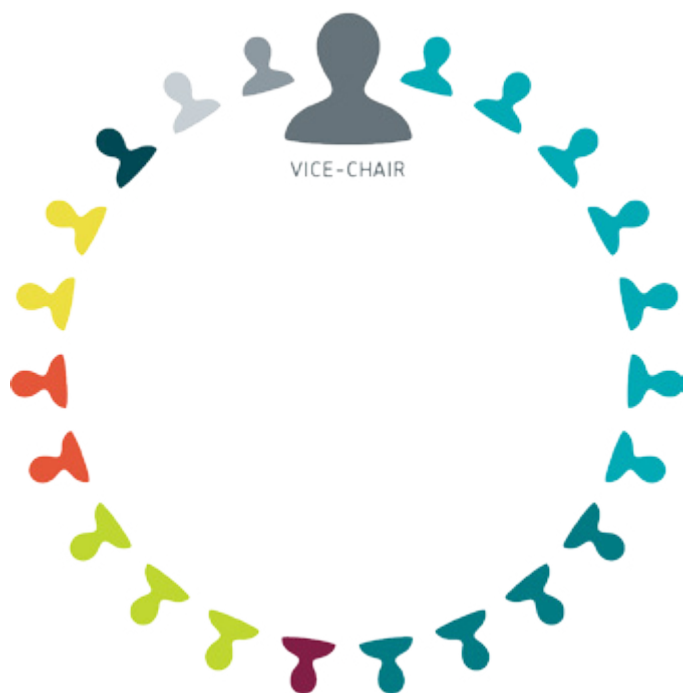
Yakub Hossain,
Deputy Executive Director,
VERC, Bangladesh

“SWA is a great global forum to urge countries to prioritize and invest in WASH.”

Sanjay Wijesekera,
Chief of WASH,
UNICEF

Steering Committee report

The SWA Steering Committee takes overall responsibility for strategic leadership of SWA and elects the SWA Vice-Chair. Partners elect representatives from each constituency to sit on the Steering Committee and delegate decision-making authority to them. The Steering Committee mandates and oversees the Secretariat, which provides support to the Chair, Vice-Chair, Steering Committee and the partners. In 2013, the SWA Steering Committee held two face-to-face meetings and two virtual meetings in between. This process enriches discussion and improves the quality of governance.



The current steering committee has 23 members representing seven constituencies:

DEVELOPING COUNTRIES

DONORS

MULTILATERALS

DEVELOPMENT BANKS

CIVIL SOCIETY

RESEARCH AND LEARNING

SECTOR PARTNERS

Plus:

SECRETARIAT HOST

WASH SPOKESWOMAN

VICE-CHAIR

Members of the Steering Committee also work in groups or 'task teams', to provide critical thinking and advice on key issues. In 2013, tasks teams focused on the SWA Review, post-2015 agenda, the SWA Partnership Meeting and the SWA High-Level Meeting. Two other important on-going teams are the Country Processes Task Team and the Global Monitoring Task Team.

Country Processes Task Team (CPTT)

Through the CPTT, SWA partners continue to work together to address fragmentation and inefficiency, in order to build strong national planning process for the WASH sector and strengthen sector performance. This includes the roll-out of the National Planning for Results Initiative (NPRI), which is now being taken forward in a number of countries. The CPTT is also coordinating SWA partner inputs on Aid Effectiveness (AE).

Global Monitoring Task Team (GMTT)

The GMTT was formed in May 2013 in recognition of the crowded and duplicative nature of the current global monitoring landscape and the need to increase coordination and country ownership on monitoring. It has held discussions on current global and national monitoring instruments and the potential for improvements in cohesion and ownership.

List of Steering Committee Members as at the end of 2013

Vice-Chair

Mr. Darren Saywell, Plan International USA

Developing countries

Mr. Salisu Abdulmumin, African Ministers' Council on Water (AMCOW)

Mr. Jean de Dieu Rakotondramihamina, Madagascar

Mr. Irfan Tariq, Pakistan

Mr. Sana Jawara, The Gambia

Mr. Peter Mahal Dhieu Akat, South Sudan

Mr. Roberto Acosta, Paraguay

Ms. Chitrlekha Yadav, Nepal

Donors

Mr. Dick van Ginhoven, Ministry of Foreign Affairs, the Netherlands (DGIS)

Mr. Christian Eggs, Swiss Agency for Development and Cooperation (SDC)

Mr. Leonard Tedd, United Kingdom Department for International Development (DFID)

Ms. Heather Skilling, United States Agency for International Development (USAID)

Multilaterals

Mr. Patrick Apoya, Water and Sanitation for Africa (WSA)

Mr. Chris Williams, Water Supply and Sanitation Collaborative Council (WSSCC)

Development Banks

Mr. Oswald Chanda, African Development Bank (AfDB)

Mr. Dominick de Waal, Water and Sanitation Program at the World Bank (WSP)

Civil Society

Mr. Baker Yiga, Eastern Africa, African Civil Society Network on Water and Sanitation (ANEWS)

Mr. Thilo Panzerbieter, German Toilet Organization, End Water Poverty (EWP)

Mr. Dibalok Singha, Freshwater Action Network South Asia (FANSA)

Research and Learning

Mr. Jamie Bartram, Water Institute, University of North Carolina at Chapel Hill

Sector Partners

Mr. Henry Northover, WaterAid, UK

Leading Spokeswoman on Sanitation and Water

Ms. Ebele Okeke, Nigeria

Secretariat Host (Ex Officio)

Mr. Sanjay Wijesekera, United Nations Children's Fund (UNICEF)

Observers

Mr. Federico Properzi, UN Water

Mr. William Rex, World Bank

Mr. Bruce Gordon, World Health Organization (WHO)

Ms. Christina Koenig and Mr. Almut Nagel, German Development Cooperation



SWA Steering Committee

Photo Credit: SWA / Jess Hoffman

Steering Committee Member Perspective

“I joined the SWA Steering Committee in order to link the South Sudan WASH sector to global collaborations and experiences. This means our WASH sector benefits from the best practices projected through this professional and collaborative body of SWA. I want to use SWA to shape and align our country’s national commitments with the highest regional and international political agenda. As a SC member, I plan, organize and coordinate national SWA activities and link them to the regional and international bodies. These include attending SWA meetings; supervising and overseeing of the secretariat and task teams’ activities; representing the partnership; endorsing the SWA work plan and budget; and reporting on the progress of the SWA activities (both at the constituency, secretariat and country levels). For Anglophone African developing countries, I am expected to coordinate and encourage the constituency member countries to actively contribute to the development of overall WASH sector under the leadership and guidance of SWA.”

Peter Mahal Dhieu, Director General, Rural Water Supply and Sanitation, Ministry of Electricity, Dams, Irrigation and Water Resources, The Republic of South Sudan.



Mr. Peter Mahal Dhieu Akat, South Sudan at the 2013 Partnership Meeting

Photo Credit: SWA / Jess Hoffman

Secretariat report

The SWA Secretariat provides support to the Chair, Vice-Chair, Steering Committee and the partners. UNICEF, at the request of the Steering Committee, hosts the SWA Secretariat, in collaboration with the Water Supply and Sanitation Collaborative Council (WSSCC), which provides communications and advocacy support.

The Secretariat supported the partnership in the following areas:

- Influencing high-level decision makers: including supporting the SWA Chair, H.E. President John Kufuor, to attend and engage in high-level meetings during the UN General Assembly.
- Strengthening sector accountability by facilitating the SWA High Level Commitments Dialogue (HLCD): including releasing the 2013 Progress Update on the 2012 SWA HLM Commitments, launching preparations for the 2014 HLM and engaging with other regional and global processes relating to sanitation and water.
- Strengthening the functioning of the SWA Partnership and Steering Committee: including organizing the Steering Committee meetings, supporting the Vice-Chair and partners to follow up on actions, supporting and facilitating the Task Teams, and engaging potential new SWA partners.
- Developing communications for impact: including launching the new SWA brand and website, and developing new communication tools for partners to use.
- Secretariat management and administration: including developing proposals and administrating grants, and servicing the SWA partnership through staff recruitment and retention.

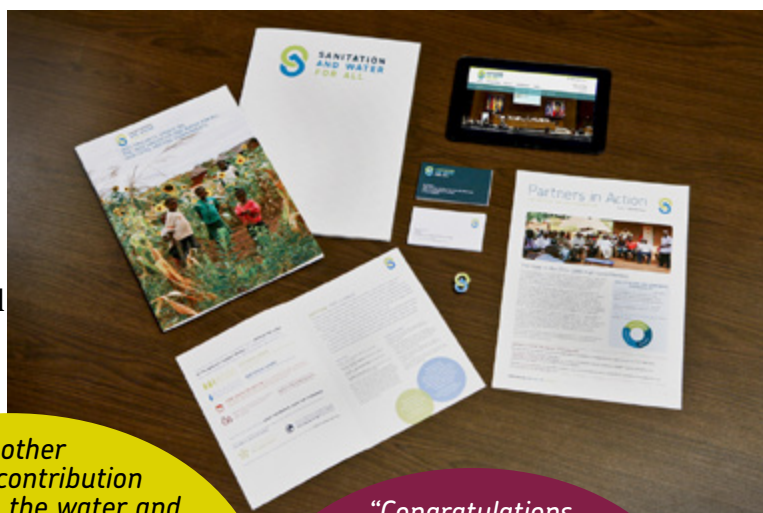
Engaging the sector

In 2013, the SWA Secretariat focused on organizing 2013 Partnership Meeting, reporting on progress against the 2012 SWA HLM Commitments and intensifying support to SWA partners to prepare for the 2014 SWA HLM. This included engagement with other global and regional processes, such as sub-regional AfricaSan preparation meetings, the Africa GLAAS meeting, SACOSAN and the Water and Sanitation for Africa (WSA) High Level Forum. The Secretariat also developed guidance for developing countries, donors and civil society preparing for the 2014 High-Level Meeting, including a half-day session on the HLCD at the 2013 Partnership Meeting, and held a series of interactive webinars in December.

Communications

In 2013, the Secretariat improved its communications tools, introducing a vibrant new look for SWA communications. SWA has a new logo, brochure, regular newsletters and a short animated film. SWA's new website is dynamic place for partners and others to find information about the partnership, news, events and achievements in the sanitation and water sector more broadly.

www.sanitationandwaterforall.org



"Another excellent contribution from SWA to the water and sanitation sector. Very explanatory presentation on SWA"

Emmanuel Nkrumah, Senior Water and Sanitation Specialist, The World Bank, in Ghana on the new animation, SWA Explained.

"Congratulations on the new website ... a real improvement. I like the obvious emphasis on results achieved!"

Erma Uytewaal, Director of Programmes and Head of the Africa Team at IRC

SWA Secretariat Finances

SWA Secretariat income and expenditures (in US\$)

	Credit	Debit	Balance
INCOME			
Opening balance 2013	1,905,266		
Donor funding received in 2013	2,601,656		
EXPENDITURES			
Staff		841,352	
Strengthening the functioning of the SWA Partnership		664,265	
Influencing high-level decision-makers		75,998	
SWA High Level Commitments Dialogue		146,004	
High Level Meetings		-	
Communications		255,967	
Indirect costs		138,851	
GRAND TOTAL	4,506,922	2,122,438	2,384,484*

* At the end of 2013: \$2,384,484 remains for use in 2014-15

Donor funding to the SWA Secretariat (in US\$)

	Opening Balance in 2013	Received in 2013	Expected in 2014**	Expected in 2015**
Germany (BMZ)	533,186	-	274,940	-
The Netherlands (DGIS)	722,404	-	-	-
Bill & Melinda Gates Foundation	347,136	842,756	657,226	-
Australia	-	1,348,746	-	-
Switzerland (SDC)	302,539	410,154	274,750	32,970
TOTAL	1,905,266	2,601,656	1,206,916	32,970

**Funds committed in signed agreements